



03500.017604

PATENT APPLICATION

DOP/REF  
#307

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

HIROYUKI OGINO ET AL.

Application No.: 10/670,242

Filed: September 26, 2003

For: IMAGE FORMING PROCESS,  
IMAGE-RECORDED ARTICLE,  
LIQUID COMPOSITION AND  
INK-JET RECORDING  
APPARATUS

Examiner: M.S. Shah

Group Art Unit: 2853

June 23, 2004

2004 JUN 25 PM 3:10

Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR REFUND

Sir:

In connection with the above-identified application, Applicants request a refund of \$86.00 for claims in excess of three and \$18.00 for claims in excess of twenty, which were erroneously charged to our Deposit Account 06-1205. It is requested that the refund be applied as a credit to that Deposit Account. The reason for the refund is explained below.

On September 26, 2003, Applicants submitted a Utility Patent Application Transmittal along with the filing fee of \$1,468.00, (see attached copy of the Transmittal and a postcard acknowledging receipt of the fees). No additional fees were due at that time.

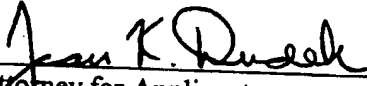
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Applicants' attorneys received a Monthly Statement of Deposit Account, dated April 2004 (copy attached), indicating that Deposit Account No. 06-1205 was charged \$86.00 and \$18.00, for a total of \$104.00. Applicants respectfully submit that a refund of \$104.00 is due.

Accordingly, Applicants hereby request a refund and authorize the Commissioner to credit Deposit Account No. 06-1205 in the amount of \$104.00, to resolve this matter.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our New York office at the below listed address.

Respectfully submitted,

  
Attorney for Applicants  
Jean K. Dudek  
Registration No. 30,938

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112-3801  
Facsimile: (212) 218-2200

NY\_MAIN 435543v1

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+

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	35-20 =	15	X \$ 18.00 =	\$270.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	5-3 =	2	X \$ 84.00 =	\$168.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$280.00 =	\$280.00
				BASIC FEE (37 CFR 1.16(e))	\$750.00
				Total of above Calculations =	\$1468.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				
				TOTAL =	\$1468.00

19. Small entity status

- a. ☐ A small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

20. ☒ A check in the amount of \$ 1468.00 to cover the filing fee is enclosed.

21. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☐ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME	Jean K. Dudek - Reg. No. 30,938
SIGNATURE	<i>Jean K. Dudek</i>
DATE	September 26, 2003

JKD/kkv

DC\_MAIN 145408v1

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Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date 9, 26, 2003  
Mo. Day Yr.  
Atty. Docket 03500.017604

Sir:

Kindly acknowledge receipt of the accompanying:

- ☒ Specifications, claims and abstract 162 pages, with Transmittal Form  
☒ Patent Application Bibliographic Data Sheet 4 sheets  
☐ Executed Oath or Declaration and Power of Attorney  
☒ 4 Sheets of formal informal drawings  
☒ Check for \$ 1468.00 (filing fee)  
☐ Request for Continued Examination and Check for \$ \_\_\_\_\_  
☐ Assignment, PTO-1595 and Check for \$ \_\_\_\_\_  
☐ Transmittal Under 37 CFR 1.53(d) (CPA)  
☐ Petition under 37 CFR 1.136 and check for \$ \_\_\_\_\_  
☐ Other (specify) \_\_\_\_\_

22278 U.S. PTO  
10/670242  
09/18/03

by placing your receiving date stamp hereon and mailing or returning to deliver.

This is a ☐ Continuation ☐ Divisional ☐ Continuation-In-PartAtty. SKD/ckuDue Date 9, 30, 2003  
Mo. Day Yr.

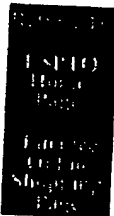
37 CFR 1.8 ☐  
37 CFR 1.10 ☐  
By Hand ☒

FOS-A-00



# United States Patent and Trademark Office

2004 JUN 25 PM 3:10



## Deposit Account Statement

Requested Statement Month: April 2004  
 Deposit Account Number: 061205  
 Name: FITZPATRICK CELLA HARPER & SCINTO  
 Attention:  
 Address: 30 ROCKEFELLER PLAZA  
 City: NEW YORK  
 State: NY  
 Zip: 10112-3801

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
04/01	2	09012556	684.2198DI	1811	\$100.00	\$54,241.C
04/01	576	09561291	4411US (CFP1	1501	\$1,330.00	\$52,911.C
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04/02	43	09647953	35.C14385	1252	-\$310.00	\$53,976.C
04/02	44	10236935	03500.011325	1251	-\$110.00	\$54,086.C
04/02	132	29188290	03503.011530	8021	\$40.00	\$54,046.C
04/02	387		00050.104700	8013	\$25.00	\$54,021.C
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04/05	426	5753653	025210177SV	8013	\$25.00	\$53,699.C
04/05	428	6500948	025210177SV	8013	\$25.00	\$53,674.C
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04/06	65	10337823	00684.003447	1201	\$10.00	\$53,614.C
04/06	80	10337891	00684.003446	1201	\$10.00	\$53,604.C
04/07	19	10669333	00684.003531.	1001	\$375.00	\$53,229.C
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04/07	313	78397297	01914.000T97.US	7001	\$335.00	\$52,459.C
04/07	978	78397688	00952.008150	7001	\$335.00	\$52,124.C
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# Deposit Account Statement

Page 2 of 4

04/08 5	2669581	00946.001051	8504	\$30.00	\$51,974.C
04/08 6	2132888	00946.001051	8504	\$30.00	\$51,944.C
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04/08 9	1937202	00946.001051	8504	\$30.00	\$51,854.C
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04/08 11	1873678	00946.001051	8504	\$30.00	\$51,794.C
04/08 12	2795560	00946.001051	8504	\$30.00	\$51,764.C
04/08 13	808610	00946.001051	8504	\$30.00	\$51,734.C
04/08 14	1593218	00946.001051	8504	\$30.00	\$51,704.C
04/08 15	1726005	00946.001051	8504	\$30.00	\$51,674.C
04/08 27	5805223	03500.010662.2	1460	-\$130.00	\$51,804.C
04/08 42		00050.097000 - EHAUGHEY	8013	\$25.00	\$51,779.C
04/08 166	09863424	1263.1605	1806	\$180.00	\$51,599.C
04/08 1259	78398528	01915.00T133.US	7001	\$335.00	\$51,264.C
04/09 1	10670242	03500.017604	1201	\$36.00	\$51,178.C
04/09 1	10176058	03500.016494	1202	\$18.00	\$51,142.C
04/09 2	10670242	03500.017604	1202	-\$632.00	\$51,756.C
04/09 94	10820029	03500.013292.1	9204	\$15.00	\$51,741.C
04/09 418	09990340	35.G2947	8001	\$55.00	\$51,686.C
04/12 122	6702453	02133.000300-WEOLSEN	8011	\$336.00	\$51,350.C
04/13 1	09354726	35.C13661	1201	\$54.00	\$51,296.C
04/13 2	09354726	35.C13661	1202	\$25.00	\$51,271.C
04/13 74	6521247	02911.001500-JMARINA	8010	\$200.00	\$51,071.C
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04/13 131	09544159	1807.1081	1201	-\$12,527.00	\$63,594.C
04/14 4	PAYMENT		9203	\$15.00	\$63,579.C
04/14 81	10140982	00684.003366	8001	\$54.00	\$63,525.C
04/14 128	10295851	03560.003134	1202	\$172.00	\$63,353.C
04/14 129	10295851	03560.003134	1201	\$25.00	\$63,328.C
04/14 248	6702427	03500.016163	8013	\$770.00	\$62,558.C
04/15 5	09503480	35.C14236	1801	-\$90.00	\$62,648.C
04/15 19	10312007	00766.000067	9204	\$18.00	\$62,630.C
04/15 93	10823587	01807.101635	1202	\$335.00	\$62,295.C
04/15 867	78402153	00947.010660	7001	\$130.00	\$62,165.C
04/16 5	29198354	02105.02530	1051	\$25.00	\$62,140.C
04/16 67		02047.000206	8013	\$25.00	\$62,115.C
04/16 68		02047.000206	8013	\$25.00	\$62,090.C
04/16 136	5697028	00684.002225	8013	\$20.00	\$62,070.C
04/16 171		02521.000212	8007	\$335.00	\$61,735.C
04/16 797	78402891	01781.00T201.US	7001	-\$1,188.00	\$62,923.C
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04/19 171		02047.000202	8007	\$20.00	\$62,883.C
04/19 172		02047.000202	8007	\$25.00	\$62,858.C
04/20 105		02521000213	8013	\$25.00	\$62,833.C
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04/20 128		00845.041000	8013		

# Deposit Account Statement

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04/21 5	10295851	03560.003134	1201	\$172.00	\$62,506.C
04/21 11		02471.300200	8013	\$25.00	\$62,481.C
04/21 13		03088.300200	8013	\$25.00	\$62,456.C
04/21 16		02105.001126	8013	\$25.00	\$62,431.C
04/21 17		02105.001126	8013	\$25.00	\$62,406.C
04/21 22		02521.000213	8013	\$25.00	\$62,381.C
04/21 106	78404929	01915.00T135.US	7001	\$335.00	\$62,046.C
04/21 164	78404957	01915.00T134.US	7001	\$335.00	\$61,711.C
04/21 1485	76474134	00847.001300.	7004	\$300.00	\$61,411.C
04/22 19	10059294	00862.003013.1	1801	\$740.00	\$60,671.C
04/22 30	10625673	03560.002263.1	1201	\$252.00	\$60,419.C
04/22 87		00050.107900	8013	\$25.00	\$60,394.C
04/22 281	78405786	945.10663	7001	\$335.00	\$60,059.C
04/22 293	78405792	945.10664	7001	\$335.00	\$59,724.C
04/22 303	78405796	945.10665	7001	\$335.00	\$59,389.C
04/22 308	78405798	945.10666	7001	\$335.00	\$59,054.C
04/22 1003	78385901	224.359	7002	\$100.00	\$58,954.C
04/23 1	1805967	9528123	6205	\$100.00	\$58,854.C
04/23 1	09304630	862.2825	1253	\$950.00	\$57,904.C
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04/23 11	09532076	35.C14367	1253	-\$930.00	\$58,434.C
04/23 270	6706960	03500.016418	8013	\$25.00	\$58,409.C
04/23 326		00025.008600-RROZOS	8011	\$55.00	\$58,354.C
04/26 3	09725032	35.C14959	1251	\$110.00	\$58,244.C
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04/26 18	10743413	02713.002000	1202	\$36.00	\$58,108.C
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04/27 4	US20030114429	03097.000200-RROZOS	8011	\$55.00	\$58,033.C
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04/27 155	0142520835	02105.002490	8014	\$150.00	\$57,213.C
04/27 157	0143450221	02105.002480	8014	\$150.00	\$57,063.C
04/28 1	60532793	00005.001217	8007	\$20.00	\$57,043.C
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04/28 122	10243376	03500.013374.1	1401	\$30.00	\$56,958.C
04/28 122	10648284	01272.020622	1202	\$504.00	\$56,454.C
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04/28 288	60297729	02521000213	8007	\$40.00	\$56,124.C
04/29 47	10430435	03090.000100	8011	\$55.00	\$56,069.C
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04/29 291	09355980	02047.000206	8013	\$25.00	\$56,019.C
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04/30 11	09640063		1201	\$860.00	\$55,306.C
04/30 12	09640063		1202	\$738.00	\$54,568.C

# Deposit Account Statement

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04/30 314	29191338	00946006025	8007	\$100.00	\$54,468.00
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04/30 319	29191338	00946006025	8007	\$100.00	\$54,168.00
04/30 330	29191339	00946.006024	8007	\$100.00	\$54,068.00
04/30 332	10173449	01056.019200	8013	\$25.00	\$54,043.00
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START  
BALANCE  
\$54,341.00

SUM OF  
CHARGES  
\$17,182.00

SUM OF END  
REPLENISH BALANCE  
\$16,859.00 \$54,018.00

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 03500.017604

First Named Inventor or Applicant Identifier

HIROYUKI OGINO, ET AL.

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:**Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification Total Pages **162**
4. ☒ Drawing(s) (35 USC 113) Total Sheets **4**
5. ☐ Oath or Declaration Total Pages
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed Statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other: \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information: Examiner \_\_\_\_\_

of prior application No. \_\_\_\_\_  
Group/Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label**05514**

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

NAME

Address

City

Country

State

Telephone

Zip Code

Fax

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

04/09/2004 UEDUVIJE 00000001 061205 10670242—

01 FC:1201 86.00-BA  
02 FC:1202 18.00-BA

Adjustment date: 07/29/2004 EEKUBAY1  
04/09/2004 UEDUVIJE 00000001 061205 10670242  
01 FC:1201 86.00 CR  
02 FC:1202 18.00 CR

PTO-1556  
(5/87)

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